

11-29-01

A

PATENT
94604-250152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
MICHAEL B. LIEBESKIND ET AL.
Serial No.: UNASSIGNED
Filed: NOVEMBER 28, 2001
For: INSURANCE RISK, PRICE, AND ENROLLMENT
OPTIMIZER SYSTEM AND METHOD

Group No.: UNKNOWN

Examiner: UNKNOWN

11059 U.S. PTO
09/995852
11/28/01

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 724 019 116 US

Date of Deposit: November 28, 2001

Box PATENT APPLICATION
Assistant Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 2327
Arlington, VA 22202

Dear Sir:

I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (45 pages of specification; 82 claims; 1 page of abstract)
- ☒ 12 sheet(s) of formal drawings
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
U.S. Patent and Trademark Office
P. O. Box 2327
Arlington, VA 22202

November 28, 2001
Date of Deposit

Ernie Sandoval
Name of person mailing papers

Ernie Sandoval
Signature

PATENT
94604-250152

FORM PTO-1082



Box Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
U.S. Patent & Trademark Office
P. O. Box 2327
Arlington, VA 22202

Date: November 28, 2001
Express Mail Label No.: EL 724 019 116 US

Dear Sir:

Transmitted herewith for filing is the patent application of
Inventor(s): MICHAEL B. LIEBESKIND and BRENT KINETZ
For: INSURANCE RISK, PRICE, AND ENROLLMENT OPTIMIZER SYSTEM
AND METHOD

Enclosed are:

- ☒ 12 Sheets(s) of drawings (formal)
_____ An assignment of the invention to Winged Keel Management Company, Inc.
_____ An associate power of attorney
_____ A verified statement to establish small entity status under 37 CFR1.9 and 1.27.
_____ Declaration and Power of Attorney.
☒ AMEND the specification by inserting before the first line: --This application claims the
benefit of U.S. Provisional Application No. 60/287,537, filed April 30, 2001.--
_____ IDS enclosed. _____ with references.
_____ Preliminary Amendment.

CALCULATION OF FEES					
ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	FEE
A TOTAL CLAIMS FEE	82 -20*=	55	x \$9 or x \$18	\$ 558	
B INDEPENDENT CLAIMS FEE**	3 -3*=	0	x\$42or x 84	\$0	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$558
D MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$140 LARGE ENTITY FEE = \$280		\$140
E BASIC FEE*			SMALL ENTITY FEE = \$370 LARGE ENTITY FEE = \$740		\$370
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1068
G ASSIGNMENT RECORDING FEE				\$40	\$40
**LIST INDEPENDENT CLAIMS 1, 34, 36					

A check in the amount of \$

Page 2 of 2